

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/562,655
Applicant: Kenjiro Hamanaka
Filed: December 27, 2005
Title: LENS-ATTACHED LIGHT-EMITTING ELEMENT AND METHOD FOR
MANUFACTURING THE SAME
TC/A.U.:
Examiner:

RESPONSE TO NOTICE TO FILE
MISSING REQUIREMENT OF NON-PROVISIONAL APPLICATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Responsive to the Notice dated April 14, 2006, enclosed is the Declaration/Power of Attorney in compliance with 37 C.F.R. § 1.63. Also enclosed is a Credit Card Payment Form (PTO-2038) for \$130.00 to cover the required surcharge fee.

06/16/2006 GFREY1 00000145 10562655

01 FC:1617

130.00 OP

Respectfully submitted,


Kenneth N. Nigon, Reg. No. 31,549
Attorney(s) for Applicant(s)

KNN/pb

Enclosures: Declaration/Power of Attorney
PTO-1390

Dated: June 13, 2006

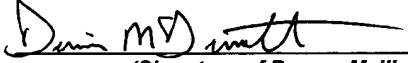
P.O. Box 980
Valley Forge, PA 19482-0980
(610) 407-0700

The Director is hereby authorized to charge or credit Deposit Account No. 18-0350 for any additional fees, or any underpayment or credit for overpayment in connection herewith.

EXPRESS MAIL Mailing Label Number: EV 689 864 103 US
Date of Deposit: June 13, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Dennis McDermott

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Kenjiro Hamanaka		Docket No. NSG-258US	
Serial No. 10/562,655	Filing Date December 27, 2005	Examiner	Group Art Unit
Invention: LENS-ATTACHED LIGHT-EMITTING ELEMENT AND METHOD FOR MANUFACTURING THE SAME			
<p>I hereby certify that the following correspondence:</p> <p>PTO-1390 Transmittal, Formalities Letter; PTO-2038; Response To Missing Requirement; Declaration and Power of Attorney; Return Receipt Post Card</p>			
<i>(Identify type of correspondence)</i>			
<p>Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:</p> <p>PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 13, 2006</p>			
<u>Dennis McDermott</u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i>			
 <i>(Signature of Person Mailing Correspondence)</i>			
<u>EV 689 864 103 US</u> <i>("Express Mail" Mailing Label Number)</i>			
<p>Note: Each paper must have its own certificate of mailing.</p>			



UNITED STATES PATENT AND TRADEMARK OFFICE

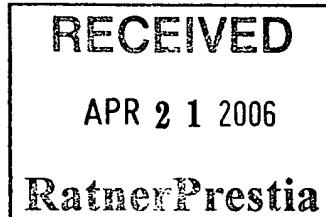
Page 1 of 2

NSG-258US

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/562,655	Kenjiro Hamanaka	NSG-258US

23122
RATNERPRESTIA
P O BOX 980
VALLEY FORGE, PA 19482-0980



INTERNATIONAL APPLICATION NO.	
PCT/JP04/09265	

I.A. FILING DATE	PRIORITY DATE
06/24/2004	06/26/2003

CONFIRMATION NO. 6283
371 FORMALITIES LETTER



OC00000018530521

Resp. to missing pg (2m)
6/14/06

Date Mailed: 04/14/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/27/2005
- English Translation of the IA filed on 12/27/2005
- Copy of the International Search Report filed on 12/27/2005
- Preliminary Amendments filed on 12/27/2005
- Request for Immediate Examination filed on 12/27/2005
- U.S. Basic National Fees filed on 12/27/2005
- Priority Documents filed on 12/27/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

BEST AVAILABLE COPY

mgm

4-21-06

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/562,655	PCT/JP04/09265	NSG-258US

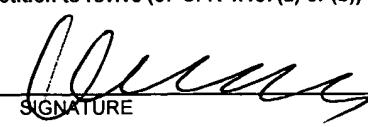
FORM PCT/DO/EO/905 (371 Formalities Notice)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER NSG-258US
INTERNATIONAL APPLICATION NO. PCT/JP04/09265	INTERNATIONAL FILING DATE 24 June 2004 (24.06.2004)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/562,655
TITLE OF INVENTION LENS-ATTACHED LIGHT-EMITTING ELEMENT AND METHOD FOR MANUFACTURING THE SAME		
APPLICANT(S) FOR DO/EO/US Kenjiro Hamanaka et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mail; Response to Missing Requirement; Missing Requirement Notice; PTO-2038; Return PostCard;</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/562,655		INTERNATIONAL APPLICATION NO. PCT/JP04/09265		ATTORNEY'S DOCKET NUMBER NSG-258US			
The following fees have been submitted				CALCULATIONS	PTO USE ONLY		
21. <input type="checkbox"/> Basic national fee (37 CFR 1.492(a))..... \$300				\$			
22. <input type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 All other situations..... \$200				\$			
23. <input type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)... \$0 Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US. and provided to the Office or previously communicated to the US by the IB \$400 All other situations..... \$500				\$			
TOTAL OF 21, 22 and 23 =				\$			
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
- 100 =	/50 =	x \$250	\$				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130			
CLAIMS				NUMBER FILED	NUMBER EXTRA		
Total claims				- 20 =	x \$ 50	\$	
Independent claims				- 3 =	x \$200	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					+ \$360	\$	
				TOTAL OF ABOVE CALCULATIONS =		\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							
				SUBTOTAL =		\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+		\$	
				TOTAL NATIONAL FEE =		\$ 130	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+		\$	
				TOTAL FEES ENCLOSED =		\$ 130	
				Amount to be refunded:		\$	
				Amount to be charged:		\$ 130	
a. <input type="checkbox"/>	A check in the amount of \$ _____ to cover the above fees is enclosed.						
b. <input type="checkbox"/>	Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. <input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed.						
d. <input checked="" type="checkbox"/>	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
<input checked="" type="checkbox"/> Customer Number <u>23122</u>							
OR							
<input checked="" type="checkbox"/> Ratner Prestia, P.O. Box 980, Valley Forge, PA 19482							
<input type="checkbox"/> Ratner Prestia, P.O. Box 1596, Wilmington, DE 19899							
Date : <u>June 13, 2006</u>							
				SIGNATURE			
				Kenneth N. Nigon			
				NAME			
				31,549			
				REGISTRATION NUMBER			